ALGEBRAS AND REGULAR SUBGROUPS

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Let $\mathbb F$ be any field.

We identify the affine group $\operatorname{AGL}_n(\mathbb{F})$ with the subgroup of $\operatorname{GL}_{n+1}(\mathbb{F})$ consisting of the matrices having $(1, 0, \dots, 0)^T$ as first column. So, $\operatorname{AGL}_n(\mathbb{F})$ acts on the right on the set $\mathcal{A} = \{(1, v) : v \in \mathbb{F}^n\}$ of affine points.

Definition

A subgroup (or a subset) R of $AGL_n(\mathbb{F})$ is called regular if it acts regularly on \mathcal{A} , namely if, for every $v \in \mathbb{F}^n$, there exists a unique element in R having (1, v) as first row.

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The problem of classifying the regular subgroups of $AGL_n(\mathbb{F})$ attracted the interest of many authors:

Caranti, Dalla Volta, Sala (2006); Catino, Rizzo (2009); Catino, Colazzo, Stefanelli (2015-2016); Childs (2015).

These authors use concepts as radical rings, braces and Hopf structures.

We prefer to adopt an approach based only on linear algebra. On the other hand this problem is also connected to the classification of nilpotent algebras:

Childs (2005); Poonen (2008); De Graaf (2010).

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We write every element r of a regular subgroup R of $\operatorname{AGL}_n(\mathbb{F})$ as

$$r = \begin{pmatrix} 1 & v \\ 0 & \pi(r) \end{pmatrix} = \begin{pmatrix} 1 & v \\ 0 & I_n + \delta_R(v) \end{pmatrix} = \mu_R(v),$$

where

$$\pi : \operatorname{AGL}_{n}(\mathbb{F}) \to \operatorname{GL}_{n}(\mathbb{F}),$$
$$\mu_{R} : \mathbb{F}^{n} \to \operatorname{AGL}_{n}(\mathbb{F}),$$
$$\delta_{R} : \mathbb{F}^{n} \to \operatorname{Mat}_{n}(\mathbb{F}).$$

EXAMPLE

The translation subgroup

$$\mathfrak{T} = \left\{ \left(\begin{array}{c|c} 1 & v \\ \hline 0 & I_n \end{array} \right) : v \in \mathbb{F}^n \right\}$$

is an example of regular subgroup ($\delta_T = 0$).

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LEMMA

Let R be a regular subset of $AGL_n(\mathbb{F})$ containing I_{n+1} and such that δ_R is additive. Then R is a subgroup, if and only if:

 $\delta_R(v\delta_R(w)) = \delta_R(v)\delta_R(w), \quad \text{for all } v, w \in \mathbb{F}^n.$

Also, given $v, w \in \mathbb{F}^n$,

Commutativity

 $\mu_R(\mathbf{v})\mu_R(\mathbf{w}) = \mu_R(\mathbf{w})\mu_R(\mathbf{v}) \quad \Leftrightarrow \quad \mathbf{v}\delta_R(\mathbf{w}) = \mathbf{w}\delta_R(\mathbf{v}).$

Theorem

Let R be regular subgroup of $AGL_n(\mathbb{F})$. Then the following holds:

- (a) the center Z(R) of R is unipotent;
- (b) if δ_R is linear, then R is unipotent;
- (c) if R is abelian, then δ_R is linear.

EXAMPLE

Let $n \ge 4$ and $\mathbb{F} = \mathbb{F}_p$ (p odd). Take the matrix $A = \operatorname{diag}(A_3, I_{n-4})$ of $\operatorname{GL}_{n-1}(\mathbb{F}_p)$, where $A_3 = \begin{pmatrix} 1 & 2 & -2 \\ 0 & 1 & -2 \\ 0 & 0 & 1 \end{pmatrix}$. Then A has order p, is orthogonal and its minimum polynomial has degree 3. The subset R of order p^4 , defined by

$$R = \left\{ \begin{pmatrix} 1 & v & h + \frac{vJv^{\mathrm{T}}}{2} \\ 0 & A^{h} & A^{h}Jv^{\mathrm{T}} \\ 0 & 0 & 1 \end{pmatrix} : v \in \mathbb{F}_{p}^{n-1}, h \in \mathbb{F}_{p} \right\},$$

is a unipotent regular subgroup of $AGL_n(\mathbb{F}_p)$, such that $R \cap \mathfrak{T} = \{1\}$. Note that δ_R is not linear.

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DEFINITION

An \mathbb{F} -algebra \mathcal{L} with 1 is called split local if $\mathcal{L}/J(\mathcal{L})$ is isomorphic to \mathbb{F} , where $J(\mathcal{L})$ denotes the Jacobson radical of \mathcal{L} .

In particular $\mathcal{L} = \mathbb{F} \mathbf{1} + \mathbf{J}(\mathcal{L})$, where $\mathbb{F} \mathbf{1} = \{ \alpha \mathbf{1}_{\mathcal{L}} : \alpha \in \mathbb{F} \}$.

We say that \mathcal{L} is finite dimensional if $J(\mathcal{L})$, viewed as an \mathbb{F} -module, has finite dimension.

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Theorem

Let \mathcal{L} be a finite dimensional split local \mathbb{F} -algebra. Then, with respect to the product in \mathcal{L} , the subset

$$R = 1 + \mathsf{J}(\mathcal{L}) = \{1 + v : v \in \mathsf{J}(\mathcal{L})\}$$

is a group, isomorphic to a regular subgroup of $\operatorname{AGL}_n(\mathbb{F})$ for which δ_R is linear, $n = \dim_{\mathbb{F}}(J(\mathcal{L}))$.

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Conversely we have the following result.

Theorem

Let R be a regular subgroup of $AGL_n(\mathbb{F})$. Set

$$V = R - I_{n+1} = \left\{ \begin{pmatrix} 0 & v \\ 0 & \delta_R(v) \end{pmatrix} \mid v \in \mathbb{F}^n \right\},$$
$$\mathcal{L}_R = \mathbb{F}I_{n+1} + V.$$

Then \mathcal{L}_R is a split local subalgebra of $Mat_{n+1}(\mathbb{F})$, with $J(\mathcal{L}_R) = V$, if and only if δ_R is linear.

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Our classification of the regular subgroups of $AGL_n(\mathbb{F})$ is based on the following proposition (see Caranti, Dalla Volta, Sala (2006)):

Proposition

Assume that R_1, R_2 are regular subgroups of $AGL_n(\mathbb{F})$ such that δ_{R_1} and δ_{R_2} are linear maps. Then

 R_1 and R_2 are conjugate in $\mathrm{AGL}_n(\mathbb{F})$

if and only if

the algebras \mathcal{L}_{R_1} and \mathcal{L}_{R_2} are isomorphic.

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Theorem

Let R be a regular subgroup of $\operatorname{AGL}_n(\mathbb{F})$ and $1 \neq z$ be an element of the center $\mathbf{Z}(R)$ of R. Then, up to conjugation of R under $\operatorname{AGL}_n(\mathbb{F})$, we may suppose that $z = J_z$, where

$$J_z = \operatorname{diag} \left(J_{m_1}, \ldots, J_{m_k} \right)$$

is the Jordan form of z having Jordan blocks J_{m_i} associated to the eigenvalue 1, of respective sizes

$$m_i \geq m_{i+1}$$

for all $i \geq 1$.

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Our classification is obtained working inside $C_{AGL_n(\mathbb{F})}(z)$ using the following parameters d, r and k, considered in this order. Let H be any unipotent subgroup of $AGL_n(\mathbb{F})$.

$$\begin{aligned} d(H) &= \max\{\deg\min_{\mathbb{F}}(h - I_{n+1}) \mid h \in H\};\\ r(H) &= \max\{\operatorname{rk}(h - I_{n+1}) \mid h \in H\};\\ k(H) &= \dim_{\mathbb{F}}\{w \in \mathbb{F}^n : w\pi(h) = w, \forall h \in H\}. \end{aligned}$$

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Two general cases

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Let U be a unipotent regular subgroup of $\operatorname{AGL}_n(\mathbb{F})$. If $d(\mathbf{Z}(U)) = n + 1$ then, up to conjugation, $U = \mathbf{C}_{\operatorname{AGL}_n(\mathbb{F})}(J_{n+1})$. Moreover U is abelian.

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LEMMA

Let U be a regular subgroup of $\operatorname{AGL}_n(\mathbb{F})$, $n \ge 2$. If $d(\mathsf{Z}(U)) = n$ then, up to conjugation, for some fixed $\alpha \in \mathbb{F}$:

$$U = R_{\alpha} = \begin{pmatrix} 1 & x_1 & x_2 & \dots & x_{n-2} & x_{n-1} & x_n \\ 0 & 1 & x_1 & \dots & x_{n-3} & 0 & x_{n-2} \\ 0 & 0 & 1 & \dots & x_{n-4} & 0 & x_{n-3} \\ \vdots & & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 & 0 & x_1 \\ 0 & 0 & 0 & \dots & 0 & 1 & \alpha x_{n-1} \\ 0 & 0 & 0 & \dots & 0 & 0 & 1 & \end{pmatrix}$$

In particular U is abelian and r(U) = n - 1. Furthermore, R_0 and R_{α} are not conjugate for any $\alpha \neq 0$.

If $n \ge 4$ is even, then R_{α} is conjugate to R_1 for any $\alpha \ne 0$ and an epimorphism $\Psi : \mathbb{F}[t_1, t_2] \rightarrow \mathbb{F}I_{n+1} + R_{\alpha}$ is obtained setting

$$\Psi(t_1) = \alpha X_1, \qquad \Psi(t_2) = \alpha^{\frac{n-2}{2}} X_{n-1}.$$

In this case $\operatorname{Ker}(\Psi) = \langle t_1^{n-1} - t_2^2, t_1 t_2 \rangle$. If *n* is odd, R_{α} and R_{β} ($\alpha, \beta \in \mathbb{F}^*$) are conjugate if and only if β/α is a square in \mathbb{F}^* .

LEMMA

Let U be a regular subgroup of $AGL_3(\mathbb{F})$. If d(Z(U)) = r(Z(U)) = 2, then U is abelian, conjugate to

$$\begin{pmatrix} 1 & x_1 & x_2 & x_3 \\ 0 & 1 & 0 & x_2 \\ 0 & 0 & 1 & x_1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

and $\operatorname{char} \mathbb{F} = 2$.

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OUR METHOD: AN EXAMPLE

Proof.

We may assume

$$\mathbf{z} = \operatorname{diag}(\mathbf{J}_2, \mathbf{J}_2) \in \mathbf{Z}(U),$$

whence $\delta(v_1) = E_{2,3}$. From the structure of the centralizer of z and the unipotency of U we obtain

$$\delta(v_2) = E_{1,3} + \alpha E_{2,1} + \beta E_{2,3}.$$

It follows $v_1\delta(v_2) = v_3$. Now, we apply $\delta_R(v\delta_R(w)) = \delta_R(v)\delta_R(w)$ to v_1, v_2 , which gives

$$\delta(\mathbf{v}_3) = \delta(\mathbf{v}_1)\delta(\mathbf{v}_2) = 0.$$

Direct calculation shows that U is abelian. Hence $d(\mathbf{Z}(U)) = d(U) = 2$. In particular, $(\mu(v_2) - l_4)^2 = 0$ gives $\alpha = \beta = 0$. Finally $(\mu(v_1 + v_2) - l_4)^2 = 0$ gives char $\mathbb{F} = 2$. We can identify the direct product $\operatorname{AGL}_{m_1}(\mathbb{F}) \times \operatorname{AGL}_{m_2}(\mathbb{F})$ with the subgroup:

$$\left\{\begin{pmatrix}1 & v & w\\ 0 & A & 0\\ 0 & 0 & B\end{pmatrix}: v \in \mathbb{F}^{m_1}, \ w \in \mathbb{F}^{m_2}, \ A \in \mathrm{GL}_{m_1}(\mathbb{F}), \ B \in \mathrm{GL}_{m_2}(\mathbb{F})\right\}$$

Clearly, in this identification, if U_i are respective regular subgroups of $\operatorname{AGL}_{m_i}(\mathbb{F})$ for i = 1, 2 then $U_1 \times U_2$ is a regular subgroup of $\operatorname{AGL}_{m_1+m_2}(\mathbb{F})$.

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Clearly, in this identification, if U_i are respective regular subgroups of $\operatorname{AGL}_{m_i}(\mathbb{F})$ for i = 1, 2 then $U_1 \times U_2$ is a regular subgroup of $\operatorname{AGL}_{m_1+m_2}(\mathbb{F})$.

For every partition λ of n + 1 different from (1^{n+1}) , we define one or two abelian regular subgroups $S_{\lambda}, S_{\lambda}^{\sharp}$ of $AGL_n(\mathbb{F})$.

STANDARD REGULAR SUBGROUPS

We start with the abelian subgroup:

$$S_{(1+n)} = \mathbf{C}_{\mathrm{AGL}_n(\mathbb{F})}(J_{1+n}).$$

More generally, for a partition λ of n + 1 such that:

 $\lambda = (1 + n_1, n_2, \dots, n_s), \quad s \ge 1, \quad n_i \ge n_{1+i} \ge 1, \quad 1 \le i \le s-1,$

we define an abelian regular subgroup S_{λ} of $\mathrm{AGL}_n(\mathbb{F})$, setting

$$S_{\lambda} = S_{(1+n_1,...,n_s)} = \prod_{j=1}^{s} S_{(1+n_j)}.$$

In particular, if $\lambda = (2, 1^{n-1})$, then $S_{\lambda} = \mathcal{T}$.

Lemma

Given a partition $(1 + n_1, n_2)$ of n + 1, with $1 + n_1 \ge n_2 > 1$, set:

$$S_{(1+n_1,n_2)}^{\sharp} = \left\{ \begin{pmatrix} 1 & u & v \\ 0 & \tau_{1+n_1}(u) & w \otimes Du^{\mathrm{T}} \\ 0 & 0 & \tau_{n_2}(v) \end{pmatrix} : u \in \mathbb{F}^{n_1}, v \in \mathbb{F}^{n_2} \right\},$$

where $w = (0, ..., 0, 1) \in \mathbb{F}^{n_2}$, $D = \operatorname{antidiag}(1, ..., 1) \in \operatorname{GL}_{n_1}(\mathbb{F})$. Then $S_{(1+n_1,n_2)}^{\sharp}$ is an indecomposable regular subgroup of $\operatorname{AGL}_n(\mathbb{F})$.

Definition

A subgroup H of $\operatorname{AGL}_n(\mathbb{F})$ is indecomposable if there exists no decomposition of \mathbb{F}^n as a direct sum of non-trivial $\pi(H)$ -invariant subspaces.

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Next, consider a partition μ of n+1 such that:

$$\mu = (1 + n_1, n_2, \dots, n_s), \ s \ge 2, \ 1 + n_1 \ge n_2 > 1,$$
$$n_i \ge n_{1+i} \ge 1, \ 2 \le i \le s - 1.$$

We define the abelian regular subgroup S^{\sharp}_{μ} in the following way:

$$S^{\sharp}_{\mu} = S^{\sharp}_{(1+n_1,n_2,n_3...,n_s)} = S^{\sharp}_{(1+n_1,n_2)} \times \prod_{j=3}^{s} S_{(1+n_j)}.$$

The regular subgroups $S_{\lambda}, S_{\mu}^{\sharp}$ associated to partitions as above will be called standard regular subgroups. As already mentioned S_{λ} and S_{μ}^{\sharp} are always abelian.

THEOREM

Let $\lambda_1 = (1 + n_1, \dots, n_s), \lambda_2 = (1 + m_1, \dots, m_t)$ be two partitions of n + 1 as in the first case and let $\mu_1 = (1 + a_1, \dots, a_h), \mu_2 = (1 + b_2, \dots, b_k)$ be two partitions of n + 1 as in second case. Then (a) S_{λ_1} is not conjugate to $S_{\mu_1}^{\sharp}$; (b) S_{λ_1} is conjugate in $\operatorname{AGL}_{n+1}(\mathbb{F})$ to S_{λ_2} if and only if $\lambda_1 = \lambda_2$; (c) $S_{\mu_1}^{\sharp}$ is conjugate in $\operatorname{AGL}_{n+1}(\mathbb{F})$ to $S_{\mu_2}^{\sharp}$ if and only if $\mu_1 = \mu_2$.

As shown by Tamburini (2012), the only regular subgroup of $\mathrm{AGL}_1(\mathbb{F})$ is the translation subgroup

$$\mathfrak{T} = S_{(2)} = \begin{pmatrix} x_0 & x_1 \\ 0 & x_0 \end{pmatrix}.$$

However, already for n = 2, a description becomes much more complicated. Also, restricting to the unipotent case, one may only say that every unipotent regular subgroup is conjugate to:

$$\begin{pmatrix} 1 & x_1 & x_2 \\ 0 & 1 & \sigma(x_1) \\ 0 & 0 & 1 \end{pmatrix}$$

where $\sigma \in \operatorname{Hom}_{\mathbb{Z}}(\mathbb{F}, \mathbb{F})$.

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A complete set of representatives for the conjugacy classes of regular subgroups U of $AGL_2(\mathbb{F})$ with linear δ , for any field \mathbb{F} :

	U	$\mathbb{F}I_{n+1} + U$		$\operatorname{Ker}\left(\Psi ight)$	
				$\langle t_1{}^3 angle$	indec.
5	(2,1)	$ \begin{pmatrix} x_0 & x_1 \\ 0 & x_1 \\ 0 & 0 \end{pmatrix} $	$\begin{pmatrix} x_2 \\ 0 & 0 \\ 0 & x_0 \end{pmatrix}$	$\langle t_1, t_2 angle^2$	

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A complete set of representatives for the conjugacy classes of abelian regular subgroups U of $AGL_3(\mathbb{F})$, for any field \mathbb{F} .

U	$\mathbb{F}I_4 + U$	$\operatorname{char} \mathbb{F}$	$\operatorname{Ker}\left(\Psi ight)$
S ₍₄₎	$\begin{pmatrix} x_0 & x_1 & x_2 & x_3 \\ 0 & x_0 & x_1 & x_2 \\ 0 & 0 & x_0 & x_1 \\ 0 & 0 & 0 & x_0 \end{pmatrix}$	any	$\langle t_1{}^4 \rangle$
S _(3,1)	$\begin{pmatrix} x_0 & x_1 & x_2 & x_3 \\ 0 & x_0 & x_1 & 0 \\ 0 & 0 & x_0 & 0 \\ 0 & 0 & 0 & x_0 \end{pmatrix}$	any	$\langle t_1{}^3,t_2{}^2,t_1t_2\rangle$
$egin{aligned} & {\cal R}_\lambda,\ \lambda \in {\mathbb F}^{\Box} \end{aligned}$	$\begin{pmatrix} x_0 & x_1 & x_2 & x_3 \\ 0 & x_0 & 0 & x_1 \\ 0 & 0 & x_0 & \lambda x_2 \\ 0 & 0 & 0 & x_0 \end{pmatrix}$	any	$\langle {t_1}^2-\lambda {t_2}^2, t_1t_2 angle$

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LEMMA

U is conjugate to exactly one of the following subgroups:

(a)
$$N_1 = \begin{pmatrix} 1 & x_1 & x_2 & x_3 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & x_1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
, if $k(U) = 2$;
(b) $N_2 = \begin{pmatrix} 1 & x_1 & x_2 & x_3 \\ 0 & 1 & 0 & -x_2 \\ 0 & 0 & 1 & x_1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$, if $k(U) = 1$, $d(U) = 2$ and char $\mathbb{F} \neq 2$;
(c) $N_{3,\lambda} = \begin{pmatrix} 1 & x_1 & x_2 & x_3 \\ 0 & 1 & 0 & x_1 + x_2 \\ 0 & 0 & 1 & \lambda x_2 \\ 0 & 0 & 0 & 1 \end{pmatrix}$, $\lambda \in \mathbb{F}^*$, if $k(U) = 1$ and $d(U) = 3$.

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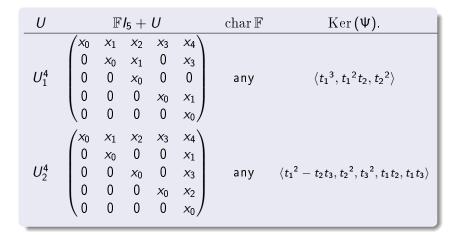
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A complete set of representatives for the conjugacy classes of indecomposable abelian regular subgroups U of $AGL_4(\mathbb{F})$, when \mathbb{F} has no quadratic extensions

U		\mathbb{F} I ₅ + U					$\operatorname{Ker}(\Psi)$.
S ₍₅₎	$\begin{pmatrix} x_0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	x ₁ x ₀ 0 0	x_2 x_1 x_0 0 0	x_3 x_2 x_1 x_0 0	$ \begin{array}{c} x_4 \\ x_3 \\ x_2 \\ x_1 \\ x_0 \end{array} $	any	$\langle t_1{}^5 angle$
S [♯] _(3,2)	$\begin{pmatrix} x_0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	x ₁ x ₀ 0 0 0	x ₂ x ₁ x ₀ 0 0	x ₃ 0 0 x ₀ 0	$ \begin{array}{c} x_4 \\ x_2 \\ x_1 \\ x_3 \\ x_0 \end{array} $	any	$\langle t_1{}^3-t_2{}^2,t_1t_2 angle$

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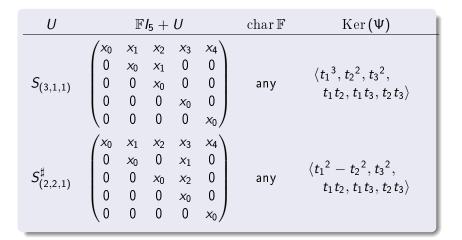
Representatives for the conjugacy classes of decomposable abelian regular subgroups U of $AGL_4(\mathbb{F})$, when \mathbb{F} has no quadratic extension

U		\mathbb{F}	<i>I</i> ₅ +	U		$\operatorname{char} \mathbb{F}$	$\operatorname{Ker}\left(\Psi\right)$
S _(4,1)	$ \begin{pmatrix} x_0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} $	x ₁ x ₀ 0 0	$x_2 \\ x_1 \\ x_0 \\ 0 \\ 0$	$ \begin{array}{c} x_3\\ x_2\\ x_1\\ x_0\\ 0\end{array} $	$\begin{pmatrix} x_4 \\ 0 \\ 0 \\ 0 \\ 0 \\ x_0 \end{pmatrix}$	any	$\langle t_1^4, t_2^2, t_1t_2 \rangle$
S _(3,2)	$ \begin{pmatrix} x_0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} $	x ₁ x ₀ 0 0	$x_2 \\ x_1 \\ x_0 \\ 0 \\ 0$	x ₃ 0 0 x ₀ 0	$ \begin{pmatrix} x_4 \\ 0 \\ 0 \\ x_3 \\ x_0 \end{pmatrix} $	any	$\langle t_1{}^3, t_2{}^3, t_1t_2\rangle$

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U	$\mathbb{F}I_5 + U$	$\operatorname{char} \mathbb{F} \qquad \operatorname{Ker} (\Psi)$
$U_1^3 imes S_{(1)}$	$\begin{pmatrix} x_0 & x_1 & x_2 & x_3 & x_4 \\ 0 & x_0 & 0 & x_2 & 0 \\ 0 & 0 & x_0 & x_1 & 0 \\ 0 & 0 & 0 & x_0 & 0 \\ 0 & 0 & 0 & 0 & x_0 \end{pmatrix}$	2 $\langle t_1^2, t_2^2, t_3^2, t_1 t_3, t_2 t_3 \rangle$
<i>S</i> _(2,1³)	$\begin{pmatrix} x_0 & x_1 & x_2 & x_3 & x_4 \\ 0 & x_0 & 0 & 0 & 0 \\ 0 & 0 & x_0 & 0 & 0 \\ 0 & 0 & 0 & x_0 & 0 \\ 0 & 0 & 0 & 0 & x_0 \end{pmatrix}$	any $\langle t_1, t_2, t_3, t_4 angle^2$

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